IFU

Docket No.

293255US0PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN KE APPLICATION OF:

Kathrin MICHL, et al.

NOV 1 7 2006

SERIAL NO:

10/586,134

GAU:

FILED:

July 17, 2006

**EXAMINER:** 

FOR:

THERMALLY POLYMERISABLE MIXTURES OF MULTIFUNCTIONAL MACROMONOMERS,

POLYMERISATION INITIATORS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

MAIL STOP PCT

SIR.

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

# CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Customer Number

Surinder Sachar

Registration No. 34,423

NOV 1 7 2006

SHEET 1 OF 2

Form PTO 1449				ATTY DOCKET NO. 293255US0PCT SERIAL NO. 10/586,134			
(Modified)	PATENT AND TRADEMARK OFFICE			293255US0PCT 10/586,134			<del>}</del>
ψ 				APPLICANT			
LIST OF	REFE	RENCES CITED BY API	PLICANT	Kathrin MICHL, et al.			
				FILING DATE		GROUP	
				July 17, 2006	<u> </u>		
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	NAME CLASS		
	AA	5 096 938	03-17-92	BECK, Erich et al.			
	AB	2006 0052571	03-09-06	HEISCHKEL, Yvonne et al.			-
	AC	5 391 628	02-21-95	GAILLARD, Patrice et al.			
	AD	5 691 419	11-25-97	ENGELKE, Stephan et al.			
	AE	6 111 024	08-24-00	MCKEE, Graham Edmund et al.			
	AF	5 686 531	11-11-97	ENGELKE, Stephan et al.			
	AG	5 096 938	03-17-92	BECK, Erich et al.	<u> </u>		
	АН	5 602 191	02-11-97	REICH, Wolfgang et al.			
	AI	6 641 911	11-04-03	PUERKNER, Eckhard et al.			
	AJ	5 066 759	11-19-91	HILLE, Hans-Dieter et al.			
	AK	5 275 874	01-04-94	BRANDENBURG, Kathryn et al.			
	AL	6 221 973	04-24-01	ARKENS, Charles et al.			****
	AM	5 932 665	08-03-99	DEPORTER, Craig Donald et al.			
	AN	7					
		l	FO	REIGN PATENT DOCUMENTS			
		DOCUMENT				TDA	LICE ATION
	<u> </u>	NUMBER	DATE	COUNTRY		YES	NSLATION NO
	AO	01 12736	02-22-01	WO (with English abstract and equivale US 6 835 420)	ent of	l	NO
	АР	01 73199	10-04-01	WO (with English abstract and US 2003 0068478)			NO
	AQ	00 26309	05-11-00	WO (with English abstract and equivaler US 6 534 185)	nt of	<u> </u>	NO
	AR	05 331220	12-14-93	JP (with English abstract)			NO
	AS	9 5991	01-10-97	JP (with English abstract)			NO
	AT	95 35402	12-28-95	WO ((with English abstract)			NO
	AU	0 990 727	04-05-00	EP			NO
	AV	97 31036	08-28-97	WO (with English abstract and equivaler US 6 071 994)	nt of		NO
		OTHER RE	FERENCES (I	Including Author, Title, Date, Pertinent	Pages, et	.c.)	
	AW						
	AX						
		<del>                                     </del>				<del></del>	
	AY						
	AZ				Additi	ional Reference	es sheet(s) attached
Examiner					Date Considered		
*Examiner: Ini	tial if re	eference is considered, v	whether or not	citation is in conformance with MPEP 609	9; Draw lin	e through citatic	on if not in

Form PTO 1449  (Modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL NO.			
			293255US0PCT	10/586,134				
	0555	DENOTE OFFER BY ARI	DUCANT	APPLICANT				
LIST OF	KEFE	RENCES CITED BY API	PLICANT	Kathrin MICHL, et al.				
			FILING DATE		GROUP			
				July 17, 2006				
				U.S. PATENT DOCUMENTS		······		
EXAMINER INITIAL		DOCUMENT DATE		NAME CL		CLASS SUB	FILING DATE IF APPROPRIATE	
	AAA					C T		
	AAB							
	AAC							
	AAD							
	AAE							
	AAF							
			FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		YES	TRANSLATION NO	
	AAG	44 10 020	09-28-95	DE (with English abstract)			NO	
	ААН	44 21 254	12-21-95	DE (with English abstract)			NO	
	AAI	91 10713	07-25-91	WO (with English abstract and equivale US 5 374 691)	ent of		NO	
	AAJ	0 279 303	08-24-88	EP (equivalent of US 5 096 938)		NO		
	AAK	28 53 921	07-03-80	DE (equivalent of US 4 287 039)		NO		
	AAL	0 686 632	12-13-95	EP			NO	
	ААМ	102 59 673	07-01-04	DE (equivalent of US 2006 0052571)			NO	
	AAN		,					
	AAO							
	AAP							
	AAQ							
	AAR							
	AAS			·				
	AAT							
	AAU				-			
	AAV							
		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinen	t Pages, e	tc.)		
	AAW		-					
	AAX					-		
	AAY							
	AAZ				Additional References sheet(s) attack			
Examiner					Date Cor	Date Considered		
*Examiner: Ir	nitial if r	eference is considered, of considered. Include co	whether or no	t citation is in conformance with MPEP 60 with next communication to applicant.	09; Draw lii	ne through	citation if not in	

U.S. PCT Application Serial No: 10/586,134

Filed: July 17, 2006 Kathrin MICHL, et al.

Docket No. 293255US0PCT

# STATEMENT OF RELEVANCY

- 1) References AC AJ and AO AT have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AK AM, AU AV and AAG AAM are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

	Docket No.: 293255US0PCT	Serial No.: 10/586,134
	Inventor: Kathrin MICHL, et al.	
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Filing Date: July 17, 2006	Group:
X XOD 4	DE RELATED CASES	

Examiner <u>Initial</u>	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App.  Publication No.	Inventor or Applicant
	293255US0PCT*	10/586,134	07/17/06		MICHL, et al.
	PER CLIENT	07/148,354	01/25/88		BECK, et al.
	PER CLIENT	5,096,938	03/17/92		BECK, et al.
	271997US0PCT	10/539,830	06/17/05	US2006/0052571 A1	HEISCHKEL, et al.

Examiner

Date Considered

<sup>\*</sup>Present Application; listed for information NFO/kch